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(54) Title: FIBER DRAWING FROM UNDERCOOLED MOLTEN MATERIALS
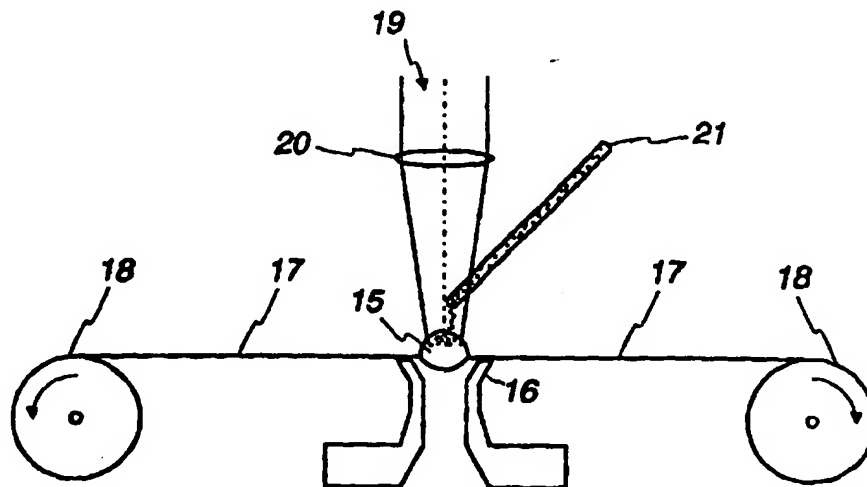
(57) Abstract

Fibers (17) are drawn from two sides of a molten mass (15) which exhibits a low viscosity at the equilibrium melting point. The process can be used with materials which were thought to be incompatible with a fiber-drawing process. Furthermore the process is also directed towards controlling recrystallization and improved tensile strengths. The process can be used with a container or a containerless device (16).

Fiber Drawing from Undercooled Molten Materials

Cross-Reference to Related Applications

This Application claims the benefit of U.S. Provisional Application No. 60/009732, filed on January 11, 1996.

Field of the Invention

The invention pertains to novel methods for drawing fibers from liquid melts, including those composed of materials whose liquid state viscosity at the melting point is normally too low to accommodate fiber drawing operations. The invention also pertains to methods of drawing fibers from a melt while preventing recrystallization of the melt. Further, the invention pertains to methods of controlling heat transfer at melt surfaces so that a portion of the melt is undercooled to a temperature below the equilibrium melting temperature, and fibers can be drawn from the undercooled portion of the melt. The invention also relates to the production of novel fibers including but not limited to fibers of glass and crystalline materials, fibers formed using the methods of the invention, and fibers of high tensile strength compared to fibers of the same composition which are currently commercially available.

Background of the Invention

Melt-Drawn Fibers

The drawing of fibers from liquid melts is well known in the art as an inexpensive method of fiber synthesis. This process is possible if the liquid viscosity is (1) sufficiently high so that tensile forces overcome the surface tension forces of the liquid during the fiber drawing process, and (2) sufficiently low so that the tensile forces induce liquid flow into a thin fiber rather than bulk flow of the liquid.

Drawing is widely used to make fibers from materials that form viscous melts, such as but not limited to oxide compositions that contain a high concentration of silicon dioxide and

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5. polymeric materials. The silica glass fibers and polymeric fibers have considerable economic

utility as, for example, thermal insulation material, components in composite materials, use in

textiles, and for many other applications. Fiber-drawing is used to make glass fibers for

applications such as, for example, fiber lasers and fiber-based optical devices. These glass

fibers typically contain small concentrations of optically-active dopant elements which are

10 added to the host glass, such as neodymium (Nd) in Nd-glass lasers and erbium (Er) in Er-

glass lasers. The magnitude and the uniformity of the dopant concentration in the fibers are

limited, however, by the solubility and diffusivity of the dopant oxides in a preform of the

host glass material during the doping operation that occurs prior to fiber drawing from the

preform.

15 In some cases, mixtures of several pure materials yield melts from which fibers can be

drawn. For example, fluoride glass fibers are made by melt drawing from mixtures of several

metal fluorides that exhibit a low melting point, thereby forming relatively viscous melts.

Fluoride glass fibers provide optical transmission outside the bandwidth of silica-based

fibers, and are of interest for applications such as fiber-laser and infrared waveguide

20 applications. However, considerable difficulties generally attend the manufacure and use of

most fluoride fibers, such as brittleness, moisture sensitivity and toxicity. In addition, many

mixtures of fluoride materials or pure liquid fluorides have insufficient equilibrium melting

point viscosity for fiber drawing operations. Improved alternatives to these prior art fluoride

fibers are needed.

25 Oxide glass fibers are often made from mixtures of silicon dioxide, boron oxide,

sodium oxide, and other additives, which mixtures melt at temperatures much lower than the

melting point of pure silica and result in melts sufficiently viscous for the drawing of fibers.

5.   Fibers known as chalcogenide glass fibers can also be drawn from mixtures of

elements such as germanium, arsenic, antimony, selenium, tellurium, and others which form

viscous, low melting point liquids. Chalcogenide glass fibers have application in the

transmission of infrared radiation. However, many chalcogenide materials have equilibrium

melting point viscosities which are too low to accomodate fiber drawing operations.

10   Melt-drawn fibers are also used as precursor fibers in chemical or physical processes

that change the fiber material into a different chemical form or physical state. For example,

sol-gels formed from metal-organic chemicals can be drawn or extruded into fibers of an

amorphous material that is subsequently heated to decompose the organic fraction of the fiber

and produce polycrystalline oxide fibers. Silicon-containing organic polymer materials can

15   be drawn into fibers and subsequently decomposed to form silicon carbide fibers. Organic

polymer fibers made from polyacrylonitrile (PAN) are heated and decomposed at high

temperatures to obtain carbon fibers. Pitch compositions obtained from hydrocarbon or coal

tars can be drawn into fibers and subsequently decomposed at high temperatures to obtain

carbon fibers. Polycrystalline oxide fibers of various materials such as zirconia-silica

20   materials, alumina-boria materials, alumina-silica materials, and yttria-alumina materials

have been made from precursor fibers formed by drawing or extrusion processes.

Prior art methods of fiber manufacture include drawing fibers from undercooled melts

containing mixtures of calcium oxide, aluminum oxide, and with additions of silicon dioxide,

magnesium oxide, and/or barium oxide, by melting the starting materials in a platinum

25   crucible or by melting the end of a rod of the starting material, removing the source of heating

allowing the melt to cool, contacting the undercooled liquid with a glass rod, and manually

drawing a fiber from the liquid by withdrawing the glass rod and attached fiber.

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5.   Alternatively, the center section of a rod of the starting material is melted, the heating source is removed, and a fiber is formed when the two ends of the rod are manually drawn apart. Reportedly, fibers could be drawn using these methods from melts that contained a maximum of 46.2 weight percent of aluminum oxide, which is equivalent to a maximum of 35.3 molar % of aluminum oxide, Al_2O_3. However, melts which contained more than 50% aluminum

10   oxide by weight have much lower viscosities, and fibers of these higher-alumina composition materials could not be drawn from undercooled melts using these techniques.

The methods described above are limited in part by the fact that the melt is in contact with a solid rod of the same material or with a platinum container. As the melt cools, crystals propagate from the solid/liquid interface to consume the liquid portion and thus limit the

15   duration of fiber drawing. A second limitation of using traditional methods is that fibers cannot be drawn from the melt for binary Al_2O_3-CaO compositions, but only for compositions with at least 3.5 weight % of added silicon oxide or with 17.8 weight % of added barium and magnesium oxides. Finally, fibers cannot be drawn using this method from melts containing a binary mixture of 50 molar % calcium oxide and 50 molar % aluminum

20   oxide, i.e., the chemical composition $CaAl_2O_4$, because the viscosity of such melts is too low to permit the drawing of fibers. The methods described above are similar to prior art in which viscosity enhancers such as SiO_2 are added to the melt, or the melting point reduction of multi-component mixtures was employed to achieve a melt viscosity sufficient for fiber pulling.

25   Typically, the composition of the melt must result in a liquid of sufficiently high viscosity to enable fibers to be drawn from the melt. The nominal viscosity required for fiber

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5. drawing from the liquid is from approximately 1,000 to 1,000,000 poise. Viscosity values for

most liquids are much lower than those required for fiber drawing. For example, typical

viscosities are 0.01 poise for water, 1-100 poise for molten oxides and slags that do not

contain silicon dioxide, 0.01 to 0.1 poise for molten salts, 0.01-1 poise for metals and alloys.

The equilibrium melts formed from the vast majority of pure materials are of a viscosity that

10 is too low to support fiber drawing operations. The number of "superheated" (melt

temperature above the equilibrium melting point) molten materials having viscosities

sufficiently large for fiber drawing is also limited.

One way of increasing the viscosity of a melt is by "undercooling," a process through

which a melt is cooled to below its melting point while remaining in a liquid, or molten, state.

15 At least slight undercooling can be achieved in most liquids. However, where a liquid melt is

cooled in contact with a solid container, the container may induce the nucleation of solid

material from the liquid melt, resulting in the solidification of the entire mass of the melt. In

addition, contact with a container can introduce impurities into the melt, as a result of

dissolution of the container material into the melt. Such contamination of melts by contact

20 with a container is a problem in, for example, the fabrication of fluoride glass and

chalcogenide glass fibers used for transmission of infrared light, in the fabrication of high

purity fibers, and in the fabrication of high melting point materials intended for service in

high temperature structural applications.

As a result of the low viscosity of many liquids and because crystallization due to

25 contact with containers limits undercooling, there is traditionally a very limited range of

materials from which fibers can be made by drawing from a liquid melt placed within a

container. Some liquids, such as melts of the familiar silicate glasses, have a high viscosity

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5.   and an ability to be undercooled without recrystallization, *i.e.*, to be cooled below the melting

point of the glass without undergoing spontaneous recrystallization. The ability to undercool

such materials facilitates the drawing of fibers, since the viscosity of the liquid melt may be

increased by decreasing the temperature of the melt to a value at which the optimum drawing

viscosity is obtained. However, the utility of silicate fibers is limited. For example, the

10   presence of silicon oxide in the silicate fibers leads to increased absorption of infrared

radiation relative to fluoride or chalcogenide materials, the silicates do not conduct electricity,

the silicates limit laser action of laser active dopants, and the silicates may be chemically

reactive towards matrix materials in high temperature composite materials. These and other

limitations inherent in silicates have led to the development of many alternative fiber forming

15   methods, some of which are described below.

Containerless Systems for Melt-Drawn Fibers

Another means of increasing the range or the purity of materials from which drawn

fibers may be produced is to use a containerless system. Containerless systems typically

involve the levitation of a liquid drop, or small amounts of solid material, by generating

20   forces on the levitated specimen which compensate for the force of gravity. The forces used

to levitate the specimens may be produced by aerodynamic, electromagnetic, acoustic,

electrostatic and any other means or combination of means of levitating specimens.

One such containerless system involves separating the liquid from the surface of a

container used to shape, position, or mold the liquid. The separation occurs by means of a

25   gaseous film formed by gas permeating through the wall of the container. This method is

generally applicable to relatively large masses of liquid. This method has been used to

levitate molten mixtures of metal fluorides and to draw fibers from the fluoride melts, whose

5   viscosity is sufficiently high above the equilibrium melting point to permit the drawing of

fluoride fibers. In this way, fluoride fibers of a greater purity are obtained than would be

possible if the melts were held in a container, which would dissolve when exposed to the

corrosive fluoride liquid and contaminate the melt.

Another containerless system for drawing fibers involves use of a levitation furnace

10 apparatus which levitates a material, heats and melts the material, initiates drawing of the

material and results in the drawing of a fiber from the levitated liquid, which is cooled as it is

drawn from the levitated liquid.

Yet another system involves an apparatus and method for drawing optical glass fibers

from the molten tip of a glass precursor in a self-supported, containerless environment. The

15 method employs melting of the glass precursor rod in a temperature gradient furnace such

that a liquid drop forms at the end of the rod and a fiber is drawn from the melt. The process,

however, requires a microgravity environment, and is not useful for melts which exhibit low

surface tensions.

Containerless conditions may also be obtained by the use of an aero-acoustic levitator

20 ("AAL"). An AAL levitates the liquid drops from which fibers may be drawn by the use of

aerodynamic forces from a gas jet, and the levitated sample is stabilized by application of

acoustic forces from a three-axis acoustic positioning system. This method is generally used

to levitate specimens with diameters in the range of approximately 0.25 cm to approximately

0.35 cm, although larger and smaller specimens may also be levitated by using this method.

25 Devices used to levitate 0.25 to 0.40 cm diameter specimens include, for example,

conical nozzle levitation ("CNL") devices in which a levitation gas flow passes through a

5.   plenum chamber, through a nozzle and over the specimen, levitating the specimen. The

levitated specimen is then heated and melted with a laser beam.

Other Fiber-Forming Methods

In addition to drawing fibers, several other processes have been developed to form fibers from low viscosity melts. One such process is the "edge-defined film-fed growth

10   technique," in which a single crystal fiber is formed by crystallization of liquid. The diameter of the fiber formed is determined by interfacial forces and by the diameter of a small orifice in the container that supplies the liquid.

Another process is the "pedestal growth technique." In this process, the end of a small rod of starting material is melted by the application of focused laser beam heating, and

15   a smaller diameter single crystal filament is drawn from the melt. Careful control of the linear growth rate of the filament and of the heat transfer conditions at the point of crystallization allows fibers to be formed by crystallization of the melt. An advantage of this process relative to the edge-defined film-fed growth technique is that higher purity materials can be made because no container is required.

20   The materials to which the edge-defined film-fed growth technique and the pedestal growth technique have been applied include aluminum oxide, yttrium aluminum garnet, ceramic superconductor materials, and others. Single crystal filaments are obtained, with chemical compositions of the fibers equal to the chemical composition that is in equilibrium with the melt. The resulting fibers are typically of 50-100 micrometers or larger and the

25   linear growth rates at which the fibers are formed are typically less than 1 cm/second. In contrast, however, fibers drawn from viscous melts can be made with diameters less than 1

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5   micrometer or larger, and the fibers are formed at very high rates of several hundred

cm/second.

Yet another process for forming fibers and filaments from melts of low viscosity

employs extrusion of the liquid as a free stream into an atmosphere which forms a stabilizing

film on the stream, after which solidification occurs within the stabilizing film. For example,

10   low viscosity melts may be extruded into a hydrocarbon atmosphere where a solid carbon

film is formed on the liquid stream. However, the fibers formed by this method are

contaminated by the carbon sheath, which must be removed in subsequent processes, and

may also be contaminated by interaction with the container material.

In addition, the technique of chemical vapor deposition ("CVD") has been used to

15   form small diameter filaments of one material deposited on a core fiber of another material.

Examples of commercially available CVD filaments are boron filaments deposited on thin

tungsten substrate fibers and silicon carbide filaments deposited on carbon fiber substrates.

These filaments are typically of 100 micrometers in diameter or larger. Laser CVD also can

be performed in which fibers are formed at the focus of a laser beam, inside a CVD reactor.

20   Laser CVD has been used to make pure fibers of boron, silicon carbide, silicon nitride,

silicon, germanium, and carbon, with diameters of approximately 10 micrometers or larger.

However, Laser CVD is a slow process in which the fibers are formed at linear growth rates

of 0.1 cm/second or slower.

It thus would be advantageous to have a method for drawing fibers from materials

25   which exhibit low viscosities at their equilibrium melting point. It would be additionally

advantageous to have drawn fibers from materials which in the prior art are thought to be

incompatible with a fiber-drawing process. Additionally, it would be useful to have a means

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5 . of controlling recrystallization of molten materials during fiber drawing. Still further, it would also be advantageous to produce novel fibers from melts containing dissolved additives in larger concentrations than previously available. Lastly, it would be advantageous to have a means of producing crystalline fibers with controlled chemical compositions.

Brief Description of the Invention

10   The present invention provides a method for drawing fibers from materials which exhibit low viscosities at the equilibrium melting point. Further, the present invention provides novel drawn fibers from materials which were thought to be incompatible with a fiber-drawing process. The present invention also provides a means of controlling recrystallization of molten materials during fiber drawing. Still further, the present invention

15   provides novel drawn fibers with greater concentrations of additives than were previously available. In addition, the present invention provides fibers of a higher tensile strength than prior art fibers of the same composition. Lastly, the present invention provides a means for producing crystalline fibers with controlled chemical compositions.

     The present invention achieves these objectives by heating the desired materials until

20   completely melted thus forming a melt, undercooling the melt until the proper viscosity is reached, initiating fiber drawing by inserting a "stinger" into the melt and rapidly withdrawing the stinger from the melt, then finally drawing the fibers at the desired speed so that fibers of the desired composition and diameter are formed. If desired, crystalline fibers can be formed by heating the drawn fibers until crystallization occurs. The present invention

25   also provides for drawing fibers from a melt under either containerless conditions or within a container.

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Brief Description of the Drawings

Figure 1 is a schematic diagram of an embodiment of the fiber stinger-drawing system in accordance with the principles of the present invention;

Figure 2 depicts an embodiment of a method in accordance with the principles of the present invention for drawing fibers in opposite directions from an undercooled and levitated melt;

Figure 3 depicts an embodiment of a method in accordance with the principles of the present invention for drawing fibers from an undercooled melt maintained in a conical nozzle levitator;

Figure 4 is a diagram illustrating a typical cooling curve for a 0.3 cm diameter mullite specimen which was levitated and melted in an aero-acoustic levitator;

Figure 5 is a typical alumina-silica phase diagram;

Figure 6 depicts an embodiment of a method in accordance with the principles of the present invention for drawing fibers from an undercooled melt maintained in a non-isothermal container;

Figure 7 is a portion of a typical alumina-silica phase diagram showing the behavior of mullite near the melting temperature; and

Figure 8 is a graph depicting typical tensile testing results for a mullite-composition glass fibers.

Detailed Description of the Preferred Embodiments

Surprisingly, we have discovered that the undercooling of certain liquid melts under controlled conditions can result in the formation of melts with sufficient viscosity to enable

5 · fiber drawing without recrystallization of the bulk liquid, including melts with melting point

viscosities too low to allow such operations. Described herein are examples of fibers drawn

from undercooled melts of several oxide materials for which fibers could not be drawn from

the melts at or above the melting point. Utilizing the methods of the present invention, fibers

were readily drawn from such melts under undercooled conditions of temperatures up to and

10 exceeding 20% below the equilibrium melting temperature. Also surprisingly, glass fibers

may be drawn from undercooled melts of chemical compositions which contain higher

concentrations of additives than are present in prior art fibers. In addition, it is noted that

fibers drawn according to the methods of the invention have surprisingly high tensile

strengths, hypothesized to be due to the relatively unflawed surface of the fibers of the

15 invention.

The methods of the invention utilize a "stinger" to initiate the draw. The ability to

grow fibers is significantly influenced by the physical properties of the stinger, and by several

conditions under which the stinger is used, such as the material, dimension, surface finish,

depth of insertion of the stinger tip in the liquid, and the residence time of the stinger in the

20 liquid before drawing is initiated. The control of these properties and processes influences

the surface wetting and adhesion and allows for considerable control over the fiber drawing

process.

Briefly described, the steps of the present method of the invention include (i) melting

specimens of selected materials under either containerless conditions to create suspended

25 liquid drops or under contained conditions such as, for example, in a crucible, (ii) cooling the

liquid to a temperature below the melting point, i.e., to undercool the liquid, and (iii)

5   · contacting the undercooled liquid with a stinger probe and withdrawing the probe under

desired conditions to draw a fiber from the liquid.

In all cases, control of the fiber diameter is obtained by controlling (i) liquid viscosity

(by changing the melt temperature and/or the gas environment), and (ii) the fiber drawing

rate. Gases used in the experiments described below include, for example, oxygen, air and

10   argon, although other gases such as, for example, nitrogen, helium, carbon monoxide, carbon

dioxide, hydrogen and water vapor may also be used. In general, faster drawing rates and/or

smaller viscosities favored smaller diameter fibers. The upper limit to the fiber diameter was

determined by the minimum drawing rate that could be used without inducing crystallization

in the bulk undercooled melt. The lower limit to the fiber diameter was determined by the

15   maximum drawing rate that could be achieved without breaking the fiber or drawing it out of

the melt.

Additionally, glass fibers drawn in accordance with the methods of the invention may

be converted to crystalline fibers by heating the glass fibers to a temperature at which

crystallization of the particular glass occurs.

20   The invention permits high purity fibers to be manufactured from a number of

materials that are known to exhibit high strength and stiffness, low creep rates, high oxidation

resistance, or chemical compatibility with the components of composite materials at high

temperatures. It allows fibers to be formed from materials that exhibit low absorption of

electromagnetic radiation, such as but not limited to those used in telecommunications

25   applications, and as fiber optic light guides, and from high purity materials. The invention

also allows synthesis of homogeneous glass fibers which may include high concentrations of

dopant elements for uses such as but not limited to fiber laser and fiber laser amplifier

5. applications. The fibers of the invention may be drawn rapidly, enabling less expensive

production, and may be crystallized to form stable materials which may be used, for example,

in oxidation-resistant composite materials with very high temperature structural applications

such as turbine combustion chamber liners and thrust deflectors. The invention also allows

the synthesis of fibers with improved tensile strength and stiffness for use in polymer-matrix

10 composite materials applications. In addition, the invention allows fibers to be formed from

bio-compatible materials for *in vivo* medical applications. Thus, the present invention greatly

expands the range of materials that can be made into fibers by drawing from liquid melts.

Example 1 - Fiber Drawing Device

Figure 1 depicts a preferred arrangement for drawing fibers from undercooled melts,

15 under either contained or containerless melt conditions, utilizing the principles of the present

invention. It is important to note that a novel and critical feature of the stinger device of the

invention is that the fibers are not drawn through a die or similar forming device subsequent

to formation. In this Example, containerless conditions are pictured, although the principles

and the fiber drawing invention may be used with any melt from which drawn fibers are

20 desired, including contained melts.

The containerless conditions pictured in Figure 1 are obtained by use of an aero

acoustic levitator to levitate liquid drops from which the fibers are drawn. This method

utilizes aerodynamic forces from a gas jet 32, and the levitation is stabilized by application of

acoustic forces from a three-axis acoustic positioning system 33. This and other means of

25 levitating samples are described in the prior art, and the use of any means of levitating

undercooled sample are intended to be within the scope of the invention. Such methods

include, for example, electromagnetic levitation and electrostatic levitation. These means

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5 · involve levitation and maintenance of the melt under high vacuum conditions, which allows

for ready application of the fiber drawing methods of the invention to metals, alloys, and

materials that are sensitive to reaction with air or gaseous species present in a gas

environment.

A levitated liquid drop 1 is formed by heating and melting a sample with the beam

10 from a CO_2 laser, although it is contemplated that any heating means is within the scope of

this invention, for example, incandescent or arc lamps, microwave heating, induction heating,

furnaces or levitation in a hot gas stream. In addition, any laser beam capable of providing

sufficient heat to the sample may be used with the method of the invention. In this particular

example, the CO_2 laser beam is split into two beams 34 that are focused onto opposite sides

15 of the levitated sample, causing the sample to melt. The melt is then held at high

temperatures until fully melted, and undercooling of the molten drop is then induced and

maintained by switching off or reducing the incident heating power.

A stinger and fiber drawing device 31 consisting of a 0.01 cm diameter tungsten wire

stinger 2 attached to a rod 3 which is operated with a solenoid actuator 4 is positioned so that

20 the tip of the tungsten wire stinger is inserted into the levitated liquid drop 1 when the

solenoid is actuated. Contact between the tungsten wire stinger and the undercooled melt

must be carefully controlled to avoid heterogeneous nucleation of crystals in the undercooled

melt due to contact with the stinger. While nucleation is not generally induced by the fiber

drawing operation of the invention, problems related to heterogeneous nucleation may be

25 alleviated if previously formed glass fibers are used as the stinger material. Although a

tungsten wire is used as the stinger in this embodiment, the invention anticipates that stingers

of various materials and sizes will be utilized depending upon the melt composition, viscosity

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5. and desired fiber characteristics, and such other stingers are within the scope of this invention.

In this Example, a spring-operated drawing mechanism 5 provides the drawing force for the drawing of fibers of defined lengths, although any means of drawing the fiber is within the scope of the invention. The drawing force of the spring is adjusted so that its force

10 constant is in the range $k = 0.1-0.25$ lb/in. The fiber drawing rate is further controlled by a friction damper 6. An electronic control circuit 7 is used to initiate the solenoid actuator and hold the stinger in the liquid drop for a preset time before it is released to allow the fiber drawing operation. A high speed pyrometer 35 is used to monitor the levitated sample temperature which can be displayed in real time on a computer screen, as a graph of

15 temperature vs time. The temperature of the molten drop is maintained at the desired undercooled temperature by increasing or decreasing the intensity of, or time of exposure of the sample to, the laser beam.

Of course, it is intended that that the force constant of the spring and the fiber drawing rate may be adjusted as necessary in order to achieve fibers of the desired dimensions. In

20 addition, it is intended that the fiber drawing means may be any suitable means, for instance, a motor and wheel assembly, and that the force of the drawing may be adjusted according to the physical properties of the fiber desired and the method used to draw the fibers.

Fiber drawing is initiated by first blocking the laser beam heating and monitoring the temperature of the liquid drop as it cools (displayed as a plot of temperature versus time on

25 the computer screen). The solenoid actuator 4 is manually activated when the temperature reaches a pre-selected value, which is preferably within the optimal drawing temperature range. In this particular embodiment, the solenoid is designed to automatically turn off after

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5.   stinging the specimen.. The stinger is then withdrawn by action of the drawing mechanism,

and a fiber is drawn from the liquid drop. The control of the temperature of the liquid drop is

a critical part of the method of the invention. At temperatures higher than optimal

temperature range for drawing fibers, the stinger is drawn out from the liquid drop without

drawing a fiber. At temperatures lower than the optimal temperature range, the viscosity of

10   the liquid is so high that the force exerted by the stinger on the liquid drop exceeds the

restoring forces of the levitation device, and the stinger motion serves to push or draw the

liquid drop out of the levitated position rather than drawing a fiber from the liquid. In

addition, if the melt temperature is too low the resultant fibers will be shorter than desired.

At intermediate, undercooled temperatures, fibers of various lengths may be formed, with

15   diameters ranging from less than 1 micrometer to over 60 micrometers.

While a certain range of fiber sizes is reported in this example, it is contemplated that

fibers with a wide range of sizes may be produced, depending upon the drawing conditions.

The diameter of the fibers is larger when drawing occurs at a lower velocity. The diameter of

the fibers is smaller when drawing occurs at a higher velocity. The length of the fibers are

20   limited by two effects. First, at lower temperatures, the forces on the liquid drop will

eventually pull the liquid drop out of its levitated position. Second, at higher temperatures,

the fiber diameter decreases as the pulling rate increases so that the tensile forces no longer

overcome the surface tension forces and the pulling of a fiber from the liquid is terminated.

Within the proper drawing temperature range, fibers of extremely long lengths may be drawn.

25   For example, drawing a 10 micrometer diameter fiber until a 0.35 cm diameter drop is

reduced to 0.25 cm diameter results in a fiber which is more an 18,000 cm long.

5 .   Figure 2 illustrates a preferred method in accordance with the principles of the present

invention of drawing fibers from more than one direction from a suspended liquid drop under

containerless conditions. In this method, a levitated liquid drop 15 is initially formed in a

levitation nozzle 16 or using another levitation melting technique. Fibers 17 are

simultaneously drawn in opposite directions from opposite sides of the liquid drop by the

10   action of motors and wheels 18 or other drawing devices that will allow control of the stinger

operation and the fiber drawing rate. The drawing forces are opposed and can be controlled

to make them nearly equal and opposite so that the resulting force on the liquid drop will be

reduced and the drop will not be drawn away from its initial position. The figure also

illustrates heating by a laser beam 19 or other radiant heat source that is focused with a lens

15   20 onto the top surface of the levitated liquid drop. The temperature in the heated region can

be maintained above the melting point, while the temperature will decrease in other regions

of the liquid drop, and can be undercooled at the sides of the liquid drop sufficient to permit

fiber drawing. The fiber material removed from the drop can be replenished by adding and

melting solid material 21 in the radiantly heated region, which may be added to the levitated

20   liquid in controlled rates as a stream of powder or one or more thin rods of solid material. By

incorporating control of the fiber drawing rate, addition and melting of material at the

radiantly heated region, maintaining an undercooled region from which to draw fibers, a

continuous process can be achieved to make long and continuous fibers. Of course, the

invention contemplates the drawing of single fibers from a single direction or the drawing of

25   multiple fibers from multiple directions drawn from positions which do not significantly

displace the melt from its levitated position.

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5 .   Stinger conditions and operation include priming the stinger by contact with the melt

at temperatures above the melting point prior to its use in drawing fibers from the

undercooled melt, and the time that the stinger is allowed to be in contact with the molten

drop (typically 1 - 50 milliseconds, although the priming time may vary depending upon the

stinger, composition of the melt and viscosity of the melt), the distance the stinger is inserted

10   into the melt and the rates of stinger insertion into, and withdrawal from, the melt. If the

temperature is too high, nucleation by the stinger may be avoided by rapid

insertion/withdrawal of the stinger, but the velocity of drawing must not be too high to draw a

fiber from the melt. At the appropriate undercooled temperature, the viscosity of the melt

increases to where fibers may be drawn, and the rate of crystallization decreases to a rate

15   lower than that observed near the melting point of the material.

Example 2 - Fiber Drawing Using the Conical Nozzle Levitator

        Figure 3 shows the arrangement for drawing fibers from melts using a motor and

wheel assembly and a conical nozzle levitation (CNL) device, to levitate and draw fibers from

0.25 - 0.40 cm diameter specimens although larger specimens may be levitated depending

20   upon their surface tension and density. A levitation gas flow 8 passes through a plenum

chamber 9, through the nozzle 10 and over the levitated specimen 11. The levitated

specimens are heated and melted with a CO_2 laser beam 12 focused with a ZnSe lens 13 onto

the top surface of the specimen. The temperature of the specimen is controlled by blocking

the laser heating beam using any available means of signal blocking or by changes in the laser

25   power. Fibers 76 are drawn from the bottom surface of the undercooled melts, using a

tungsten wire stinger 77 that is fed through the nozzle and driven by a reversible stepper

motor and wheel assembly 14. The stinger comprises a long tungsten wire attached to the

19

5. wheel, which is wound onto the wheel as the fiber pulling occurrs. Of course, it is

contemplated that other lasers may be used to heat the specimen, for example, a continuous

wave Nd-yttrium-aluminum-garnet (Nd-YAG) laser. Of course, any heating method, in

addition to lasers, may be used which will effectively melt the materials and not interfere with

the drawing operation. It is also contemplated that any means of powering the drawing

10   process may be used, in addition to the stinger method of Example 1 and the stepper motor

and wheel assembly described above.

The direction and acceleration of the motor and wheel assembly 14 are computer-

controlled to operate the stinger, to vary the accelleration of the fiber pulling rate, and to

achieve a constant fiber pulling rate. A high speed pyrometer is used to monitor sample

15   temperature and observe cooling behavior. The stinger and resultant fibers are spooled onto

the wheel attached to the motor 14, without undergoing further mechanical processing, such

as drawing through a die. In this embodiment, fibers are drawn at velocities up to 120

cm/second, although the fiber-drawing velocity is dependent upon the individual means used

to power the drawing (here, the motor and wheel). The acceleration of the stinger is computer

20   controlled and an acceleration equal to 1200 cm/sec^2 is used, although the invention

contemplates that other acceleration rates may be used depending upon the particular material

to be drawn and the desired fiber characteristics. Fibers of up to 60 cm long and with

uniform diameters of 5-20 micrometers may be drawn with this apparatus, although other

lengths and diameters may be obtained by using different drawing conditions. The stinging

25   and fiber drawing operation is typically completed in a period of less than 0.6 seconds,

although the time may vary depending upon the viscosity of the melt, the rate of .

crystallization and the rate at which fibers are pulled. It is necessary to initially pull the fiber

5 · at a rate at which contact of the melt with the stinger does not induce crystallization of the melt.

Fiber drawing with the CNL device may be initiated and continued at lower temperatures than with the AAL device described in Example 1, above, because the liquid specimen is not drawn away by the fiber when the drawing force is large. At lower

10 temperatures when the viscosity of the melt is larger, and at higher drawing rates where the fiber drawing force is larger, the drawing force becomes sufficient to displace the melt so that the melt makes contact with the sides of the levitation nozzle. Crystallization of the melt is induced by this contact with the nozzle, however, drawing of fibers continues until the melt crystallizes up to the point of fiber drawing. At temperatures where the drawing force is

15 sufficiently large for the melt to make contact with the nozzle, the crystal growth rate was typically low enough so that the center of the specimen remains liquid for a period of time sufficient to draw continuous fibers of lengths greater than 60 cm.

For example, at lower temperatures where liquid drops of the mullite or yttrium-aluminum garnet (YAG) composition were displaced under a drawing velocity of 120

20 cm/second to make contact with the nozzle, the crystal growth rates are substantially less than 1 cm/second. The points of contact between the liquid and the nozzle were approximately 0.2 cm from the point at which fibers were drawn. Therefore, the fiber drawing continued for a period greater than 0.2 seconds after contact with the nozzle to yield fibers of lengths between 24 and 60 cm long. The approach of allowing the undercooled liquid to come in contact with

25 a mechanical restraining device, as a result of displacement by the fiber drawing force, may thus be used to pull fibers of useful lengths. Surprisingly, crystals nucleated by contact with the mechanical restraining device propagate at limited rates and do not interfere with

21

5 · continued drawing of fibers until these crystals reach the point at which fibers are drawn from the liquid.

The cooling rate of the drawn strand may be estimated. For example, for a fiber which is 10 micrometers in diameter and drawn in air at a rate of 100 cm/second using the CNL device, the cooling rate is calculated as follows:

10   For example, consider a liquid oxide drop whose temperature is 1500 degrees C. The thickness of the thermal boundary layer at the liquid drop is considerably less than the specimen diameter at the stagnation point of the levitation gas flow, which is the same point at which the fiber was drawn from the liquid. For a typical 0.3 cm diameter liquid drop and 100 cm/second drawing rate, the fiber material was drawn through the boundary layer in less

15 than 0.003 seconds. Assuming that the fiber material maintains thermal equilibrium with the gas, the cooling rate would be on the order of 500,000 degrees C/second. This cooling rate would occur if the heat flux at the fiber surface is approximately 700 watt/cm^2 as calculated from the enthalpy change rate of the 10 micrometer diameter fiber per unit surface area, and based on the thermal properties of aluminum oxide, for example.

20   Now assume that the fiber remains hot. The convective heat flux q" from a fiber at 1500 degrees C to the cold ambient gas is given by:

$$q" = \frac{Nu_h \; k_f (T_f - T_a)}{d}$$

25 where,

T_f and T_a are the fiber and ambient temperatures,

k_f is the gas thermal conductivity at the mean gas "film" temperature = $(T_f + T_a)/2$,

5 ·   d is the fiber diameter, and

Nu$_h$ is the Nusselt number for heat transfer.

For the assumed conditions k$_f$ is approximately 4×10^{-4} watt/(cm degree C), Nu is

approximately 1, and q" is approximately 600 watts/cm^2. Thus, the assumption that the fiber

does not cool leads to a heat flux comparable to that required to maintain thermal equilibrium

10   with the ambient gas. It may therefore be concluded that the CNL fiber drawing method

achieves cooling rates in the drawn fiber of several 100,000 degrees C/second for fibers of 10

micrometer diameter. For larger diameter fibers, the cooling rate is smaller, in approximate

proportion to the square of the fiber diameter. Thus cooling rates in excess of 4,000 degrees

C/second will occur for fibers of 50 micrometers in diameter.

15   **Example 3 - Drawing Fibers from Mullite Melts**

Figure 4 illustrates the time and temperature conditions under which fibers are drawn

from undercooled melts of the mullite composition, 60:40 mole fraction of Al$_2$O$_3$:SiO$_2$,

using the fiber drawing methods of the invention.

Figure 4 shows the typical temperature-time history of a levitated sample during fiber

20   drawing experiments as a plot of the temperature measured with the optical pyrometer as a

function of time. Prior to the illustrated time period, the specimen is melted with a CO$_2$ laser

beam and simultaneously levitated in an AAL apparatus in a flow of argon gas, and held at a

constant temperature. The temperature range for fiber drawing is determined by drawing

fibers at various temperatures using the fiber stinging and drawing device illustrated in Figure

25   1 and described in Example 1. The decrease in temperature with time from 0 to 2.0 seconds

of the recorded time interval shows cooling of the liquid upon blocking of the laser heating

5 · beam. The temperature range in which fibers may be successfully drawn from the

undercooled liquid during this cooling period is indicated on the figure. During the period

approximately 2.0 to 2.2 seconds, a rapid temperature increase up to the melting point of the

sample is shown. This temperature increase occurs when the undercooled liquid crystallized

spontaneously. The energy released by crystallization is sufficient to heat the sample up to

10   the melting point where the temperature remained approximately constant while

crystallization continued. Finally, the temperature decreases due to heat loss from the solid

specimen after all of the liquid is consumed.

As seen in Figure 7, the composition of crystalline mullite that is in equilibrium with

liquid at higher temperatures is not contained within the mullite phase field at lower

15   temperatures. The diagram thus shows that mullite formed at equilibrium with the liquid at

the highest temperatures will not be thermodynamically stable at lower temperatures. The

mullite in equilibrium with the liquid at higher temperatures will contain an excess of

aluminum oxide, which will tend to precipitate a second phase when the mullite is cooled or

used in an application at lower temperatures. In contrast, the composition of the glass fibers

20   formed in accordance with the principles of the present invention can be independently

chosen to be within the mullite phase field at the intended application temperature. The glass

fibers can be heated to convert them to pure crystalline mullite fibers which are stable with

respect to precipitation of a second phase at the application temperature.

It is also possible to draw glass fibers in many cases where recalescence (heat released

25   by the crystallation resulting in a temperature increase to the melting point) is observed. For

example, glass fibers of the mullite composition may be obtained as described in this

Example even where recalescence is observed.

24

5·   **Example 4 - Fibers Drawn From Undercooled Melts using Contained Systems**

The invention also contemplates fibers drawn in contained systems. Figure 6

illustrates a preferred embodiment of a method of supporting a liquid using a container which

facilitates fiber drawing from undercooled melts without recrystallization. An important

feature of the method includes establishing and maintaining a temperature gradient within the

10   container, such that part of the molten mass is undercooled. In this method, the material of

interest 30 is placed within an open container 22 such as a crucible, which container is

maintained at a temperature above the melting point. A cover 25, also maintained at a

temperature above the melting point, may be initially placed on the container to achieve

thermal equilibrium inside the container and complete melting of the material. The cover may

15   then be raised or removed, permitting heat loss and cooling of the melt surface. The heat

transfer conditions at the melt surface 23 can be controlled so that the central region of the

exposed melt surface is undercooled permitting the drawing of fibers from the undercooled

liquid. The inner walls of the container 28 and a small part of the liquid 27 in close proximity

to the walls of the container can be maintained above the melting temperature so that

20   heterogeneous nucleation of crystals cannot occur at the walls.

Figure 6 shows the heating crucible 22 and the molten material 30 from which several

fibers 24 may be drawn through openings in the raised cover 25 that are larger than the fibers

or through openings in a separate guide, by the action of, for example, a motor and wheel 26

or other drawing means. The fiber material removed from the melt can be replenished by

25   adding and melting solid material in the region where the melt temperature exceeds the

melting point. Drawing is initiated by the use of one or more stingers (not shown) as

5 · described in Example 1 above, or by action of the motor and wheel assembly or other

drawing means.

The temperature at the top surface of the liquid and of the crucible is schematically

illustrated in the bottom part of Figure 6 as a function of the transverse position at the top

surface of the crucible and contained liquid. The equilibrium melting temperature is

10 designated by T_m on the ordinate of this part of Figure 6. The temperature of the crucible and

of that part of the liquid near the crucible walls is above T_m, while the temperature of the

liquid surface further from the container walls decreases to a value less than T_m. The

temperature at the center of the exposed liquid surface can be estimated as follows, assuming

the diameter of the container is much larger than the depth of liquid, so that heat is conducted

15 to the surface from the bottom. For purposes of estimating the magnitude of the temperature

gradient it is also assumed that convective heat loss is negligible, that heat is lost form the

liquid surface only by radiation, and that radiant heat is not reflected back onto the liquid

surface. The temperature decrease in the liquid is then approximated by the equation below,

where the right side gives the heat flux from the bottom surface, which is maintained at the

20 crucible temperature, to the top surface of the liquid and the left side gives the radiant heat

loss from the liquid surface:

$$\sigma \varepsilon T_s^4 = k \frac{Tc - Ts}{h}$$

25

where sigma = 5.67×10^{-12} watt/(cm^2 degrees K^4), the Stefan-Boltzmann constant, epsilon

is approximately 0.8 for liquid oxides, the emissivity of the liquid surface, T_s, is the

5 · temperature of the liquid surface, T_c, is the temperature of the crucible, and h is the depth of

the liquid layer.

Typical values for the thermal conductivity, k, of oxides at high temperatures are in

the range 0.02 to 0.2 watt/(cm degree C).

Using mullite as an example, with T_c = 1900 degrees C (slightly above the melting

10   point) and T_s = 1670 degrees C (approximately 200 degrees C of undercooling) we obtain h =

0.045 to 0.45 cm, depending on the actual value of k.

The above calculation shows that an estimated liquid depth less of than 0.5 cm is

sufficient to obtain deep undercooling at the surface of liquid in a container maintained above

the melting point. This depth is small enough that the assumption of a liquid depth much less

15   than the diameter of the container can be readily satisfied.

Example 5 - Effect of Gaseous Environment and Recalescence

The degree of undercooling, the formation of bulk glass, and the conditions for fiber

drawing were found to depend on the gaseous environment. In this Example, fiber drawing

under three different gaseous environments are reported: air, pure oxygen, and pure argon

20   gas. It is contemplated that other gases may be utilized, however, such as, for example,

nitrogen, helium, carbon monoxide, carbon dioxide, hydrogen and water vapor, among

others.

For example, bulk glass of the $Y_3Al_5O_{12}$ composition may be formed in argon,

without crystallization. In air or oxygen, the liquid $Y_3Al_5O_{12}$ composition crystallizes

25   spontaneously when it is undercooled. A second example is provided by pure aluminum

oxide, for which the liquid could be cooled to 450 degrees C below the melting point in argon

27

5 · and only 360 degrees C below the melting point in air or oxygen, before spontaneous

crystallization occurred. The heat released by the crystallization results in recalescence. It is

possible to draw glass fibers in all cases where bulk glass samples are formed and

crystallization does not occur when the melt is cooled. It is often possible to draw glass fibers

in many cases where recalescense is observed. For example, in an oxygen environment, glass

10 fibers of the mullite composition may be obtained where recalescense was also observed.

These fibers were drawn from the undercooled melt at temperatures above the temperature at

which crystals nucleated from the melt and spontaneous crystallization of the melt occurred.

Typical bulk liquid cooling rates were 100 - 500 degrees C/second under conditions

that resulted in spontaneous crystallization of the undercooled melt with recalescence. It is

15 known that glass formation from a melt will occur if the cooling rate exceeds the critical

cooling rate for glass formation; thus the observation of recalescence indicates that the

critical cooling rate was not achieved in the bulk liquid. However, glass fibers may still be

obtained by drawing the fibers when the liquid temperature is greater than the temperature at

which spontaneous crystallization occurred. These results demonstrate that the process of

20 drawing a fiber results in a cooling rate in the fibers that exceeds the free cooling rate of the

liquid drop.

Example 6 - Novel Fiber Compositions

Table 1 lists the compositions of some of the novel fibers which may be obtained

using the methods of the invention. The fibers listed in Table 1 may be drawn using a variety

25 of methods, including the stinger and drawing device described in Example 1 and the stinger

and motor wheel assembly shown in Figure 3 and described in Example 2. Melts may be

suspended using any levitation means, including both the AAL and the CNL devices

28

5 · described above, or melts may be contained as described, for example, in Example 4 above. The solid samples are formed from the pure elemental oxides by laser-hearth melting, a process which is well-known in the art. Additives of neodymium or erbium are used with the 50:50 $Al_2O_3:SiO_2$, the 63:37 $Al_2O_3:Y_2O_3$, and other materials.

For temperatures at or above the melting points, oscillations and fluid flow observed

10 in the levitated melts indicate that the melts are of a low viscosity, comparable to the viscosity of liquid aluminum oxide and much less than the viscosity of typical glass-forming materials such as pure silicon dioxide or silica-rich melts. The low viscosity of these melts is also shown by the fact that fibers could not be drawn from the melts at temperatures above the melting point. However, in all cases described herein, drawing of glass fibers may be

15 achieved from undercooled melts using the methods of the invention. The glass fibers drawn from the melts in all cases are uniform in appearance. Visual examination under a microscope reveals no evidence of precipitation of secondary phases in the fibers.

The synthesis of glass fibers with large concentrations of optically-active dopants may be obtained by adding Nd_2O_3 and Er_2O_3 to the 50:50 $Al_2O_3:SiO_2$ and the 63:37

20 $Al_2O_3:Y_2O_3$ materials. The additive concentrations used are much larger than the typical concentrations of 1% or less in prior art fibers. The present method achieves these fibers with large additive concentrations by first heating the material to a temperature where all components form a completely melted liquid. Upon undercooling, the viscosity increases sufficiently so that glass fibers may be drawn from the melt. Since the undercooled melt does

25 not crystallize, it remains homogenous allowing the glass fibers with high concentrations of the additives to be formed.

29

5   In addition, the synthesis of very high purity fibers and fibers with extremely small

concentrations of additives is also possible. The use of containerless conditions to maintain

the melt allows the melt to be purified by (i) evaporation of the impurities and (ii) reactive

gasification of the impurities. For example, aluminum oxide which initially contains about

0.0005 molar percent of chromium (5 parts per million chromium) may be purified by

10   containerless melting and heating of the liquid to temperatures up to 2400 degrees C. The

analyzed chromium concentration is reduced by factors up to 1 million times in a

few minutes of processing. Similarly, purification of many oxides by evaporation is possible

by means known in the prior art. When materials are processed at very high temperatures in a

container, the dissolution of container material in the melt will prevent purification of the

15   liquid. Therefore, by purifying the liquid under containerless conditions, fibers containing

less

than 0.0001 molar percent (1 part per million) of impurities can be formed. Similarly, by first

purifying the liquid, additives may be used to achieve controlled additive concentrations in

the

20   range from less than 0.0001 molar percent up to 50 molar percent in fibers pulled from the

liquid.

Glass fibers with the chemical composition $CaAl_2O_4$ are synthesized under

containerless conditions according to the methods of the invention, undercooling the melt

sufficiently so that fibers could be drawn. The method of fiber pulling is that depicted in

25   Figure 3, and levitation is in oxygen gas. The fibers are pulled from a melt that is

undercooled to a temperature approximately 200 degrees C below the melting temperature of

the material. Upon further undercooling, crystallization does not occur and bulk glass

30

5   · samples of $CaAl_2O_4$ were obtained. It is anticipated that other methods of fiber pulling may

be used, for example, the stinger drawing device shown in Figure 1, and any method which

results in pulled fibers is contemplated to be within the scope of the invention.

Using the methods of the invention, glass fibers may be synthesized from $CaO-Al_2O_3$

melts, which fibers may be used as bio-compatible structural materials which will not cause

10   silicosis if inhaled, as disclosed in U.S. Patent No. 5,552,213, the disclosure of which is

incorporated herein.

Using the methods of the invention, glass fibers may also be formed with the chemical

composition of the mineral forsterite, Mg_2SiO_4. This mineral is thermodynamically

compatible with the mineral enstatite, $Mg_2Si_2O_6$, which is known in the prior art to be an

15   interphase weakening coating for use in toughening composite materials. The forsterite fibers

are formed using the fiber-stinger device illustrated in Figure 1 and from melts levitated and

undercooled in the conical nozzle levitation device.

Figure 5 shows the equilibrium phase diagram of the alumina-silica system,

illustrating the full range of compositions between pure silicon oxide and aluminum oxide. It

20   can be seen in Table I that the present work achieved glass fiber formation over a wide range

of compositions that includes compositions for which pure mullite is stable at lower

temperatures.

Example 7 - Crystallization of Fibers

Fibers made according to the invention may also be crystallized. Table II reports the

25   crystallization of mullite composition glass fibers, e.g., 60:40 Al_2O_3:SiO_2 at temperatures of

1100 degrees C and 1200 degrees C. These results demonstrate that the process of drawing

5   glass fibers from an undercooled melt, followed by heating to an intermediate temperature,

yields crystalline fibers with controlled chemical compositions that are stable at the

intermediate temperatures.

The fiber drawing rate is controlled to typically exceed the crystallization velocity of

the undercooled melt and the cooling rate achieved in the fibers is typically greater than the

10   critical cooling rate for glass formation in the materials that were drawn into fibers. The

crystallization velocities or the critical cooling rates for glass formation are not precisely

known as a function of temperature. For mullite fibers, a fiber drawing rate of 30 cm/s is

sufficient to avoid melt crystallization. The crystallization velocity of mullite is

approximately 3 cm/s at 200K below the melting point.

15   The crystallization velocity is greater for liquid yttria-alumina than for liquid mullite

compositions. Yttria-alumina glass fibers of a few mm in length were drawn at 30 cm/s and

fibers up to 60 cm long were drawn at 100 cm/s, using the motor and wheel assembly

depicted in Figure 3, in a flow of pure argon gas. The liquid was cooled to approximately

200 degrees C below the melting point.

20   As shown in Example 2, the cooling rate achieved in the fibers will decrease as the

fiber diameter increases. The drawing rate required to obtain fibers with a given diameter

will also decrease as the fiber diameter increases. Thus, in the drawing of large diameter

fibers, conditions may occur in which the cooling rate achieved in the fibers is less than the

critical cooling rate for glass formation. The fibers obtained under this condition will then

25   contain at least some crystalline material. Further, if the crystallization velocity under the

fiber drawing conditions exceeds the fiber drawing rate, the crystals formed in the fiber will

5 · propagate in the fiber to cause crystallization of the undercooled liquid from which the fibers

are formed, thus terminating the fiber drawing process.

Table II presents tensile test data for glass fibers drawn from undercooled melts and

for crystalline fibers formed by heating the drawn fibers in air. It is of interest to note that the

fibers as-pulled have very high tensile strengths. The tensile strengths of commercially

10   available prior art fibers with similar compositions is limited to less than 3 GPa, compared

with the tensile strength values of up to 6.4 GPa in fibers of the mullite composition obtained

in accord with the principles of the present invention..

It should be understood that various changes and modifications to the preferred

embodiments described herein will be apparent to those skilled in the art. Such changes and

15   modifications can be made without departing from the spirit and scope of the present

invention and without diminishing its attendant advantages. It is therefore intended that such

changes and modifications be within the scope of the claims.

Table I. Chemical composition of glass fibers pulled from undercooled melts.

Chemical composition, mol fractions	Additives
Alumina-silica materials:	
$0.50\ Al_2O_3 + 0.50\ SiO_2$	
$0.50\ Al_2O_3 + 0.50\ SiO_2$	Nd_2O_3, 1% to 20% by weight
$0.50\ Al_2O_3 + 0.50\ SiO_2$	Er_2O_3, 1% to 20% by weight
$0.60\ Al_2O_3 + 0.40\ SiO_2$	
$0.67\ Al_2O_3 + 0.33\ SiO_2$	
$0.69\ Al_2O_3 + 0.31\ SiO_2$	
$0.70\ Al_2O_3 + 0.30\ SiO_2$	
$0.71\ Al_2O_3 + 0.29\ SiO_2$	
Alumina-yttria materials:	
$0.63\ Al_2O_3 + 0.37\ Y_2O_3$	
$0.63\ Al_2O_3 + 0.37\ Y_2O_3$	Nd_2O_3, 5 mol% substituted for Y_2O_3
Other materials:	
$0.50\ Al_2O_3 + 0.50\ CaO$	
$0.30\ Al_2O_3 + 0.70\ CaO$	
$0.67\ MgO + 0.33\ SiO_2$ (Forsterite)	
$0.50\ Al_2O_3 + 0.50\ La_2O_3$	
$0.35\ Al_2O_3 + 0.35\ LiO + 0.30\ SiO_2$	

Table II. Properties of Mullite-Composition Fibers

Fiber Condition	Fiber Diameter, μm	Tensile Fracture Strength, GPa
As-Pulled	32.0	6.45
As-Pulled	20.5	4.68
As-Pulled	32.7	5.21
As-Pulled	30.5	6.14
As-Pulled	33.0	5.55
Crystallized at 1100_C	19.0	0.78
Crystallized at 1200_C	8.0	1.00
Crystallized at 1200_C	28.0	0.66

WHAT IS CLAIMED IS:

1.   A method for producing drawn fibers comprising the steps of:

forming a melt by completely melting precursor components;

at least partially undercooling the melt; and

drawing fibers from an undercooled portion of the melt in the absence of

further mechanical forming devices.

2.   The method of Claim 1 further comprising the step of levitating the solid components

prior to forming the melt.

3.   The method of Claim 2 wherein the step of drawing the fibers further comprises

drawing the fibers from a plurality of directions such that the melt remains levitated during

the drawing of fibers.

4.   The method of Claim 2 wherein the step of drawing the fibers further comprises

drawing the fibers from liquid which is displaced to come into contact with a mechanical

restraining device.

5.   The method of Claim 1 further comprising the step of maintaining the melt within a container.

6.   The method of Claim 5 wherein the step of at least partially undercooling the melt

further comprises the step of inducing temperature gradients in the melt within the container

to cool a region of the melt below the normal melting point while maintaining the

temperature of melt in contact with the container above the normal melting temperature.

7.   The method of Claim 1 wherein the step of drawing fibers further comprises the step

of initiating drawing by inserting a stinger into the melt and rapidly withdrawing the stinger

from the melt.

8.   The method of Claim 7 in which the stinger is a glass fiber formed from the melt

5   ·   in a previous fiber drawing process.

9.   The method of Claim 7 in which the stinger is a filament made of tungsten.

10.   The method of Claim 1 wherein the melt is equilibrated with a gas comprising one or

more gas selected from the group consisting of oxygen, nitrogen, helium, argon, carbon

monoxide, carbon dioxide, hydrogen and water vapor.

10   11.   The method of Claim 10 wherein the gas used to equilibrate the melt further

comprises at least one inert gas.

12.   The method of Claim 1 wherein the melt exhibits an equilibrium melting point

viscosity which is too low to support fiber drawing at the equilibrium melting point.

13.   The method of Claim 1 wherein the step of forming the melt further comprises adding

15   additives in uniform concentrations to the melt.

14.   The method of Claim 1 wherein the melt is maintained under high vacuum conditions.

15.   The method of Claim 1 further including the step of heating the drawn fibers until

crystallization occurs.

16.   A drawn fiber produced by the process of:

20   forming a melt by completely melting precursor components;

                at least partially undercooling the melt; and

                drawing fibers from an undercooled portion of the melt in the absence of

further

        mechanical forming devices.

25   17.   The drawn fibers of Claim 16 further comprising additive concentrations in the range

of from less than .0001 molar % to 50 molar % of the fiber composition.

18.   The drawn fibers of Claim 16 further comprising additives in concentrations greater than equilibrium concentrations of additives at the drawing temperature.

19.   The drawn fibers of Claim 16 further comprising optically-active additives.

20.   The drawn fibers of Claim 19 further comprising erbium or neodymium.

21.   The drawn fibers of Claim 16 further comprising uniform additive concentrations.

22.   The drawn fibers of Claim 16 wherein the fibers are either glass or crystalline in composition.

23.   The drawn fibers of Claim 16 comprising binary silica-alumina compositions comprising % molar concentrations of alumina in the range of 50 molar % up to 95 molar % alumina.

24.   The drawn fibers of Claim 23 further comprising Nd_2O_3.

25.   The drawn fibers of Claim 23 further comprising Er_2O_3.

26.   The drawn fibers of Claim 16 comprising binary alumina-calcia compositions.

27.   The drawn fibers of Claim 26 further comprising oxides.

28.   The drawn fibers of Claim 16 comprising binary yttria-alumina compositions.

29.   The drawn fibers of Claim 28 further comprising oxides.

30.   The drawn fibers of Claim 16 comprising binary magnesia-silica compositions.

31.   The drawn fibers of Claim 30 further comprising oxides.

32.   The drawn fibers of Claim 16 comprising binary alumina-lanthana compositions.

33.   The drawn fibers of Claim 32 further comprising oxides.

34.   The drawn fibers of Claim 16 comprising ternary alumina-lithia-silica compositions.

35.   The drawn fibers of Claim 34 further comprising oxides.

5 · 36.   The drawn fibers of Claim 16 wherein the tensile strength is in the range of 10 % to

250 % greater than the tensile strengths of prior art fibers of the same compositions.

37.   The drawn fibers of Claim 16 wherein the fiber is comprised of mullite and has a

tensile strength in the range of from greater than 3 GPa to 6.4 GPa.

38.   The drawn fibers of Claim 16 further comprising fibers of the $CaAl_2O_4$ composition.

10   39.   The drawn fibers of Claim 16 wherein the fibers comprise fibers of any composition

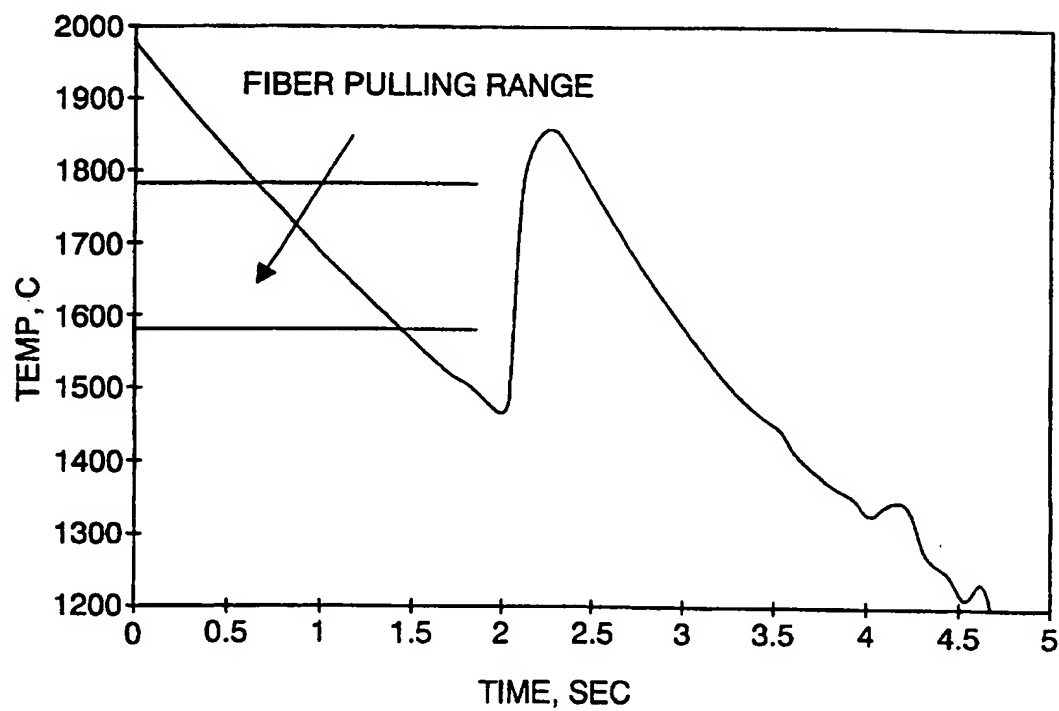within the mullite phase field.

Fig. 1

Fig. 2

Fig. 3

Fig. 4

FIBER PULLING RANGE

TEMP, C

TIME, SEC

Fig. 5   3/4

WT. %

Fig. 6

SURFACE
TEMPERATURE

TRANSVERSE DIMENSION
AT CRUCIBLE & LIQUID SURFACE
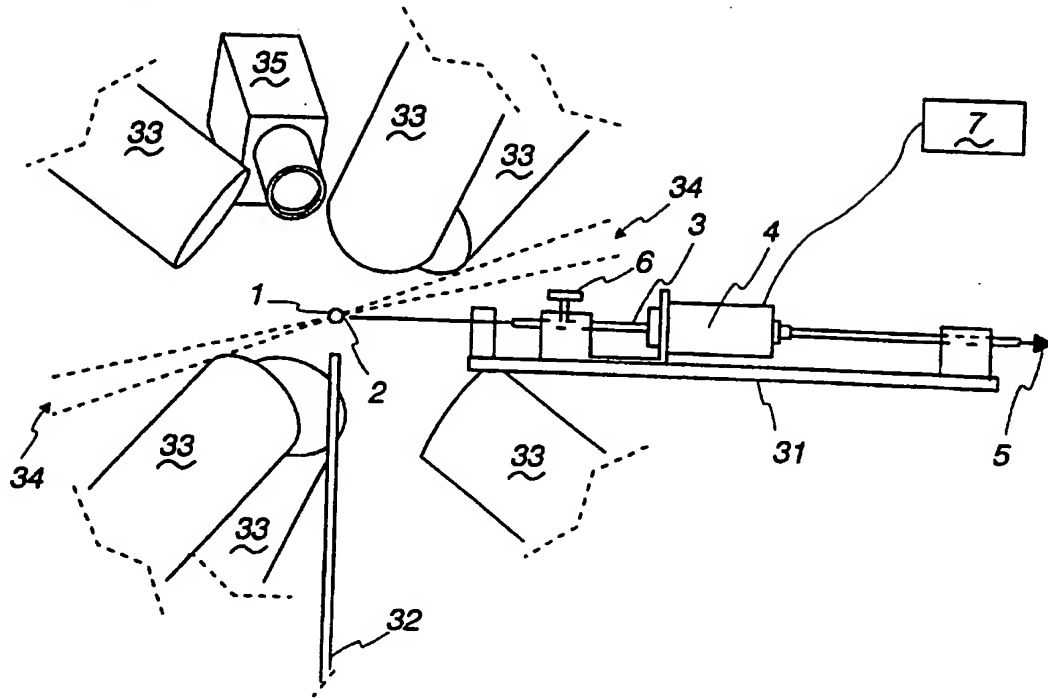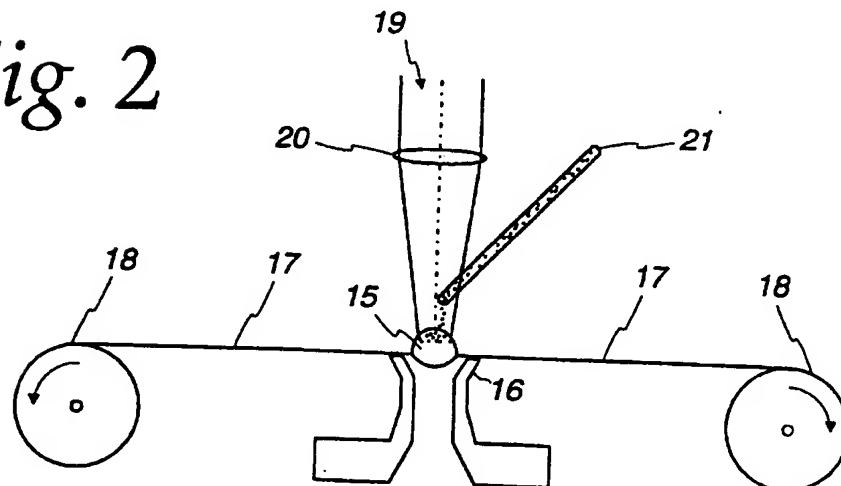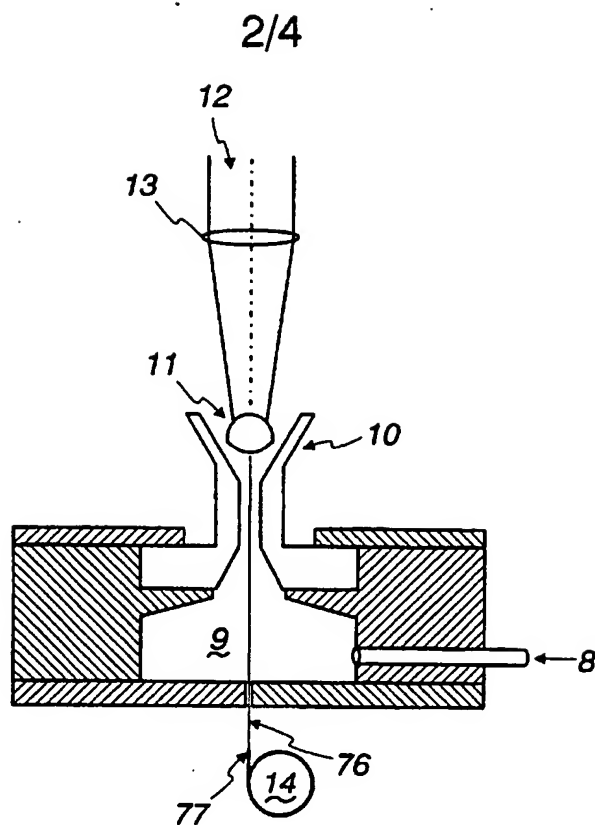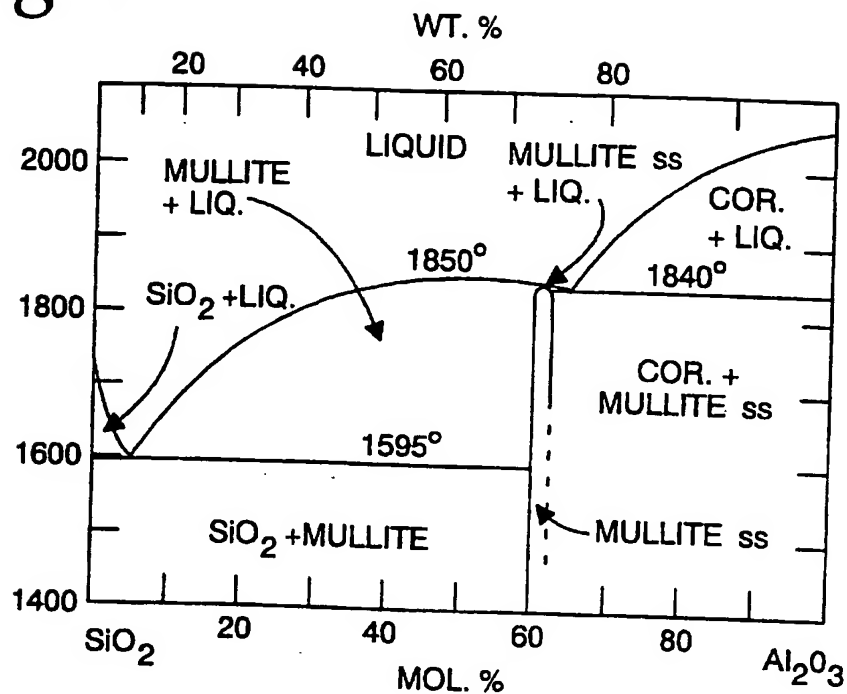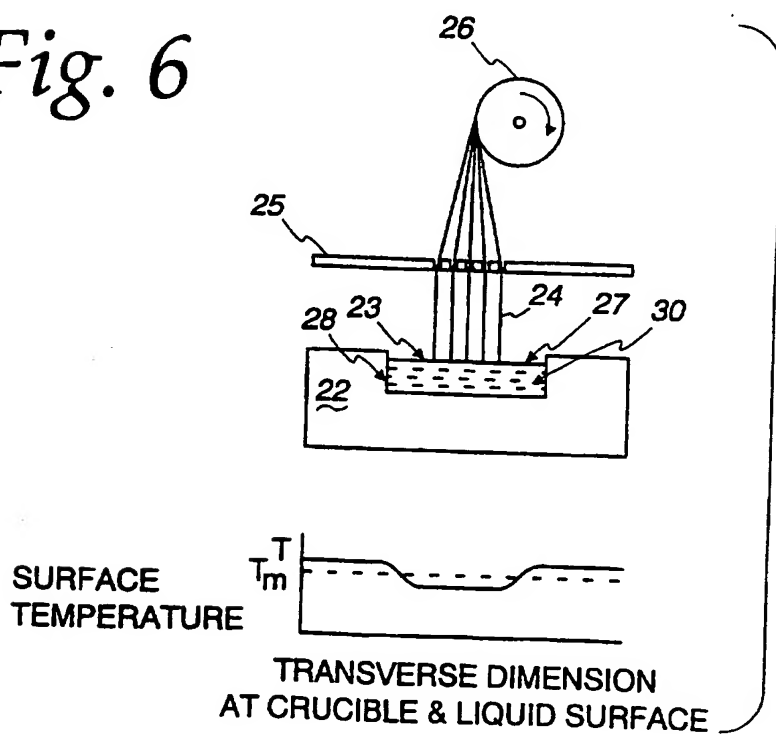
Fig. 7

Fig. 8

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :C03B 37/012, 37/02; C30B 9/04, 15/02, 15/06
US CL :65/404, 384, 390; 117/901, 13, 30

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 65/404, 384, 390; 117/901, 13, 30

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,040,890 A (BURRUS et al) 09 August 1977, col. 4, lines 11-14 and figure 1.	1,2,7,13,16-22,28,29, 36
X ---- Y	US 4,847,053 A (PASTOR et al) 11 July 1989, entire document.	1,5 ------ 6,10,11
X	US 3,607,025 A (JACOBSON) 21 September 1971, col. 1, lines 55-56, and col. 2, line 37.	23, 37, 39
A	US 5,303,117 A (OGIHARA et al) 12 April 1994.	
A	US 5,573,571 A (KOPYLOV et al) 12 November 1996	
A	US 4,605,468 A (PASTOR) 12 August 1986.	

[X] Further documents are listed in the continuation of Box C.   [ ] See patent family annex.

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Date of the actual completion of the international search	Date of mailing of the international search report
11 APRIL 1997	28 APR 1997

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Telephone No. (703) 308-0469 |

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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 3,806,635 A1 (SCHMITTBERGER et al) 14 September 1989.	

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